COMPANY - Public and Private

DOCUMENTS REQUIRED FOR ACCOUNT OPENING OF COMPANY	
Identification Proof	Provide all of the following documents: - 1. PAN copy of the company (with the seal and signature of the authorized signatory or director) . 2. Authored signatories list with specimen signatures. 3. PAN copy of all authorized signatories and directors(self-attested) 4. Individual KYC form. (PDF) (self-attested)
Adress Proof of the company	Any of the following with the company's name with seal and signature of the authorized signatory or director:- • certificate of incorporation • the latest month transaction copy of the bank statement • MCA copy or • rent agreement.
Address proof of the directors	Any OVD (official valid documents) proof for the all the directors. Address proof can be any of the following: Aadhar card (only masked Aadhar card is allowed),voter ID, passport or driving license.
Bank Proof	Any one from the following:- • Personalized cancelled cheque with the company's name pre - printed on it . • Company's bank passbook/statement (should not be older than 3 months) with all the details clearly visible as , holder Name, Bank logo and seal, IFSC code, MICR Code and Account Number.
Income Proof (to activate F&O segment)	Any one from the following:- • Company's Bank Passbook / Bank statement of last 6 months. • Latest Year audited profit and loss statement or • Latest Year Income Tax return (ITR) Acknowledgement or • Net-worth certificate from the CA(Chartered Accountant) with the UDIN number or • DP holding statement of the Entity.
Certificates (with company's seal and signatures) and Declarations	1. MOA (Memorandum of Association) 2. AOA (Articles of Association), 3. COI (Certificate of Incorporation) along with MCA verification. 4. Certificate of Commencement of Business (mandatory for Public company) 5. Copy of latest share holding pattern including list of all those holding control either directly or indirectly in the company in terms of SEBI takeover regulation, duly certified by the company secretary/whole time director/MD (this has to be submitted every year). 6. UBO(Ultimate Beneficial Owner) declaration needs to filled for providing details of those having controlling ownership more than 10% along with complete KYC.(self-attested copy of PAN and address proof)* 7. Last 2-year Audit report 8. Board Resolution (should not be more than 6-month-old).

NOTE: -

- In case if the firm has been created for less than two years, then instead of last two-year audit report the concern can provide the Net worth Certificate From the chartered accounted with the UDIN number specified and income tax declaration on the partnership firm's letterhead with authorized partners seal and signature.
- In case of a Pvt Ltd company the suffix is Pvt Ltd. and in case of the public limited company the suffix is ltd. A public limited company have to mandatory submit the certificate of commencement of business.
- If the details of the directors are missing or not recently updated in MOA or AOA then an additional Form-32 or DIR-12 copy must be submitted by the company.
- As per the rule 3(6) of the company's incorporation rules 2014, a (OPC) one person company cannot carry out non-banking financial investment activities including investment in securities. Hence, in line with these regulations Jainam doesn't allow the opening of an OPC account.
- ***ULTIMATE BENEFICIAL OWNER (UBO)**
  As per the master circular of SEBI about anti-money laundering dated on 6th, June 2024(WEB) page no .10/11,
  - **An individual is identified as an ultimate beneficiary if they have shareholding, capital or profits of 10% or more in the company.**
  - In case no individual is identified, the senior managing official may be considered as the UBO.
  - The following documents are to be submitted:
    1. UBO declaration form with the UBO signature and the authorised person's seal and signature.
    2. A copy of the PAN and address proof with self-attestation of those holding 10% or more.
  - If an entity has more than 10% of the shareholding, capital or profits in the company, then the entity should identify as an ultimate beneficiary, and the following documents are to be submitted:
    1. UBO declaration form with the UBO signature and the authorised person's seal and signature of all the shareholders of such an entity holding 10% or more
    2. A copy of the PAN and address proof of with self-attestation of all the shareholders of such an entity those holding 10% or more.
    3. Latest shareholding pattern of such entity holding 10% or more, with the signature of the whole-time director or individual promoters.

Account Opening Form

JAINAM

Prosperity with Security

CORPORATE

COMET GALAXY onboard LAUNCHPAD

MARS scoops SPACE ipo Vested

Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007
Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in
Member: BSE, NSE, MCX, NCDEX, CDSL, MSEI

INDEX PAGE

SEBI Registration Details

Segment	Registration Number	Date
Stock Broking	INZ000198735	11th September 2018
Depository Participant	IN-DP-CDSL-223-2016	8th March 2016

KNOW YOUR CLIENT (KYC) REGISTRATION AUTHORITY

CORPORATE / LLP

IDENTITY DETAILS

Please fill in **ENGLISH** and in **BLOCK LETTERS** with black ink

(Please write complete name as per Certificate of Incorporation / Registration. Do not abbreviate the Name). (As appearing in PAN Card)

Name	company name (as per the latest PAN on income tax website)		
Date of Incorporation	Date of incorporation D D - M M - Y Y Y Y	Place of Incorporation	
PAN	company PAN number	Registration No.	
Date of Commencement of Business	mandatory for public company D D - M M - Y Y Y Y		

Status: ○ Private Ltd. Co. ○ Public Ltd. Co. ○ Body Corporate ○ Partnership ○ Trust / Charities / NGOs ○ FI ○ FII ○ HUF ○ AOP ○ Bank ○ Government Body ○ Non-Government Organization ○ Defence Establishment ○ Body of Individuals ○ Society ○ LLP ○ Others (Please specify) as per relevant

CONTACT DETAILS

Tel (Res)		Tel (Office)	
Mobile	9 1 contact no.	E-mail ID	Email

ADDRESS DETAILS

Correspondence Address				Registered Office Address			
company address							
City		State		City		State	
Country	India / ________	PIN		Country	India / ________	PIN	

Proof provided for Correspondence Address — Select as per your requirement

○ Latest Bank Statement / Telephone (Only Land Line) / Electricity ○ Registered Lease / Sale Agreement of Office Address

○ Others Not more than 3 Months old. **Validity / Expiry date of proof of address submitted** D D - M M - 2 Y

Proof provided for Registered Office Address

○ Latest Bank Statement / Telephone (Only Land Line) / Electricity ○ Registered Lease / Sale Agreement of Office Address

○ Others Not more than 3 Months old. Validity / Expiry date of proof of address submitted D D - M M - 2 Y

OTHER DETAILS

office use only

Name, PAN, DIN/UID, residential address and photographs of Promoters/Partners/Karta/Trustees/Whole-time Directors	As per Annexure Attached
Any other information	

DECLARATION

We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

Place city name

Date D D - M M - 2 0 Y

Authorised signature with company seal

1/17

(Authorised Signatory with Stamp)

ATTESTATION / FOR OFFICE USE ONLY

Documents Received ✓Self Attested Certified Copies ✓Verified with Originals by ○ Employee ○ Authorised Person

Signature				Date	D D - M M - Y Y Y Y
Name					
Code		Designation		Branch	

AMC / INTERMEDIARY NAME AND CODE

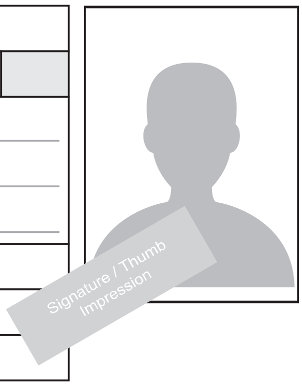
Name	Jainam Broking Limited	POS Code	1200002001

Seal/Stamp

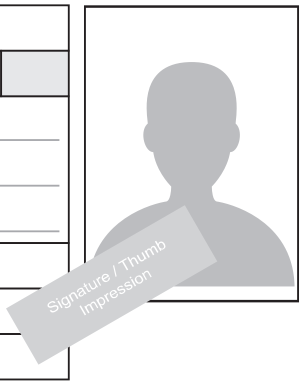
Name	name of Authorised Signatory 1		
PAN	p a n d e t a i l s	Aadhaar	aadhaar- last 4 digit
Address	Address		
City / Town / Village	city	State	state
PIN	p i n c o d e	Country	India / ____________
Relationship with Applicant	Partner Director		

Photo and Sign Across photo

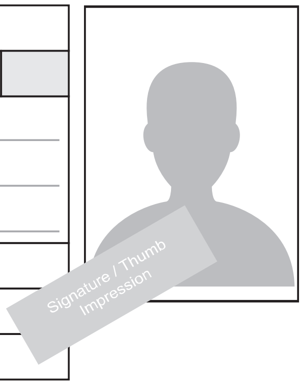
Name			
PAN		Aadhaar	
Address			
City / Town / Village		State	
PIN		Country	India / ____________
Relationship with Applicant	Partner		



Name			
PAN		Aadhaar	
Address			
City / Town / Village		State	
PIN		Country	India / ____________
Relationship with Applicant	Partner		



Name			
PAN		Aadhaar	
Address			
City / Town / Village		State	
PIN		Country	India / ____________
Relationship with Applicant	Partner		



Date D D - M M - 2 0 Y

Authorised signature with company seal

2/17

(Authorised Signatory with Stamp)

PART - B

select income range as per previous financial year

Income Range *(per annum)* *(Tick Whichever is Applicable)*	◯ Upto ₹ 1,00,000/- ◯ ₹ 5,00,001/- to ₹ 10,00,000/- ◯ ₹ 25,00,001/- to ₹ 100,00,000/-	◯ ₹ 1,00,001/- to ₹ 5,00,000/- ◯ ₹ 10,00,001/- to ₹ 25,00,000/- ◯ Above ₹ 100,00,000/-
Networth *(Not Older than 1 Month)*	₹	as on D D - M M - 2 0 Y Y

BANK DETAILS company bank details

Bank Name		Branch	
Address			
Account Type	◯ Saving ◯ Current ◯ Other	MICR Code *(9 digit)*	
Account No.		IFSC Code *(11 digit)*	

EQUITY DEMAT DETAILS office use only

No.	Def.	DP Name	DP ID / Client ID

COMMODITY DEMAT DETAILS office use only

CP	CP Name	CP ID / Client ID
NERL		
COMRIS		

If you are a political person, select "Yes"; otherwise, select "No.
If you are part of a political party, select "Yes"; otherwise, select "No."

COMMUNICATION MODE always select this

Any changes in e-mail ID need to be communicated to the broker through a physical letter

Contract Note / Margin Statement / Ledgers / Bills Delivery Mode	O Physical	O Electronic
If Electronic mode, provide E-mail ID	As mentioned in KYC Basic Details Form	

Number of years of Investment / Trading experience				
Are you	A Politically Exposed Person (PEP) ?	O Yes	O No	select as per relavant option
	Related to Politically Exposed Person (PEP) ?	O Yes	O No	
Avail Internet Trading / Wireless Technology Facility always select yes		O Yes	O No	
Purpose and intended nature of the business relationship *(as per PMLA requirement)*		O Investment O Speculation	O Trading O Others	O Hedging select as per relavant option

(For ECN in Commodity Segment, please refer Voluntary Section)

UNIQUE MOBILE NUMBER & E-MAIL ID

Pursuant to SEBI circular bearing *Ref.: CIR/MIRSD/15/2011 dated August 2, 2011*, please note that the mobile number and e-mail ID mentioned by me in the KYC form belongs to my family member, details of which are as follows:

	Relation of Family Member	PAN of Family Member
Mobile Number:	Self / Spouse / Son / Daughter / Father / Mother	
E-mail ID:	Self / Spouse / Son / Daughter / Father / Mother	

However I have no objection whatsoever in you sending all the necessary information, intimations etc. related to my demat / trading account to this mobile number.

NOMINATION DETAILS

(If yes, please fill the nomination form)

Nomination Required	O Yes O No	

INTRODUCER / SUB BROKER DETAILS **Sub broker branch details**

Signature		
Status / Code	O Channel Partner O Employee O Trading O DP **(12041500)**	
Name	FIRST MIDDLE LAST	

Address

City		State		PIN	
Country	India	Mobile No.		E-Mail ID	

OTHER TRADING MEMBER / SUB BROKER DETAILS

(if registered with multiple members, provide details of all)

Name of Stock Broker / Sub - Broker			
Name of Exchange		Client Code	
Details of Disputes / dues pending from / to such Stock Broker / Sub-Broker:			

PAST ACTIONS

Details of any action / proceedings initiated / pending / taken by SEBI / RBI / IRDA / Stock Exchange / any other authority against the client in charge of dealing in Equities / F&O / Currency Derivatives / Commodity Derivatives for violation of laws / other economic offences during the last 3 years:

ANY OTHER INFORMATION

CLIENT CATEGORISATION

Commercial	O Bullion O Base Metals O Energy O Agri-Commodities O Not Applicable
Non Commercial	O Bullion O Base Metals O Energy O Agri-Commodities O Not Applicable

Note: With a view to understand the extent of involvement of the physical market participants and to identify the nature of participants in the Commodities Derivative Market, Members of the Exchange are required to Categorize the identified Clients under one of the following category:
Commercial Client *includes Value Chain / Physical market participant / exporter / importer / having direct / indirect exposure to the underlying Commodity*
Non Commercial Client *includes Trader/ Arbitrageur*
N.A.*: Not Applicable (Client not dealing in the product)*

CHOICE OF TRADING PLATFORM

select the segment and provide your signature.

(Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off.)

Segment	Signature of Client
Equity / MF (BSE / NSE)	5(a) / 17
Equity Derivatives (BSE / NSE)	5(b) / 17
Currency Derivatives (BSE / NSE)	5(c) / 17
Commodity Derivatives* (BSE / NSE / MCX / NCDEX)	5(d) / 17

*** Date of Consent**	MCX	D	D	-	M	M	-	2	0	Y	Y	NCDEX	D	D	-	M	M	-	2	0	Y	Y

 # If, in future, the client wants to trade on any new segment / exchange, separate authorization / letter shall be required.

If you do not wish to trade in any of segments/Mutual Fund, please mention here Name of the segment that you dont want to trade.

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I confirm having read / been explained and understood the contents if the document on **'Policy and Procedures'** of the stock broker and the **'Tariff Sheet'.**

I further confirm having read and understood the contents of the **'Rights and Obligations'** document(s) and **'Risk Disclosure Document'**. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website ***(www.duck.jainam.in)*.**

Date:	D	D	-	M	M	-	2	0	Y	Y
Place:										

Authorised signature with company seal 6 / 17

(Authorized Signatory with Stamp)

NOTING FOR THE CLIENTS

Pursuant to SEBI Circular # SEBI/MRD/SE/Cir-42/2003 dated 10th November 2003, ***Jainam Broking Limited*** informs all its client that they shall be doing proprietary trading in addition to clientele business.

The information provided by the client through this form shall be the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of the clients.

DIS OPTION *(Please select any one)*

If require DIS slip, than select option 1

○ **Option 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) in favour of ***Jainam Broking Limited*** (Clearing Member) for' executing delivery instruction for setting stock exchange trades (settlement related transactions) effected through such Clearing Member.

Authorised signature with company seal 7 / 17

(Authorized Signatory with Stamp)

If not require DIS slip,than select option 2

○ **Option 2:** I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA in favour of ***Jainam Broking Limited*** (Clearing Member) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through to me / us immediately on my / our request at any later date.

Authorised signature with company seal 7 / 17

(Authorized Signatory with Stamp)

TARIFF SHEET (TRADING) **sub broker use only**

Segment	Intra Day				Delivery / Positional	
	1st Side %	Min	2nd Side %	Min	Slab %	Min
Cash						
Equity Derivatives (Futures)						
Equity Derivatives (Options)						
Currency Derivatives (Futures)						
Currency Derivatives (Options)						
SLBM						
Mutual Funds						

OTHER CHARGES

One time Account Opening Charge (amount not exceeding ‘ 500/-) shall be charged

The above charges are exclusive of statutory levies like GST, Stamp Duty, Securities / Commodity Transaction Tax, Exchange Transaction Charges, SEBI Turnover Fees & Clearing Charges which shall be charged additionally.

Scripwise Delivery Handling Charges on Sale Transactions (amount not exceeding ‘ 5/- per scrip) shall be levied.

Minimum Contract Charges (amount not exceeding ‘ 25/-) (inclusive of brokerage only) per contract shall be levied.

Demat Charges per scrip shall be levied for transfer of securities from / to Broker's Beneficiary / Margin Account.

If you have opted for electronic mode of communication, any type of physical or hand copies of contract notes, ledger, holding statements etc. (amount not exceeding ‘ 5/- per page) in addition to courier charged shall be charged additionally.

All bills (segment wise) shall be rounded off to the nearest rupee.

We hereby agree the above mentioned charges that shall be levied on the trades executed in my account.

GST DETAILS **fill GST registration number if company have**

GSTIN															

office use only

Authorised signature with company seal

6 / 18

We undertake that we have made the client aware of 'Policy and Procedures'. 'Tariff Sheet' and all the non-mandatory documents. We have also made the client aware of 'Rights and Obligations' document(s), 'Risk Disclosure Documents' and 'Guidance Note'. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures' and / or 'Tariff Sheet' and / or all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and 'Risk Disclosure Documents' would be made available on our website *(www.jainam.in)* for the information of the clients.

Date: D D | M M | 2 0 Y Y

Place: Surat

Seal / Stamp

For ***Jainam Broking Limited***

(Authorized Signatory)

INVESTOR'S GRIEVANCE CONTACT DETAILS

Details of Compliance Officer & CEO

Name	Designation	Contact No.	E-mail ID
Mr. Om Prakash Singh	Compliance Officer	+91 - 261 - 6725545	omprakash.singh@jainam.in

*For any grievance / dispute, please contact us at the registered / corporate office address of the company or e-mail on **customercare@jainam.in** or call on **91-261-6725555 / 2305555***

In case not satisfied with the response, please contact the concerned stock exchange / authorities as detailed below.

Authority	Tel	E-mail ID
BSE	+91-22-22728097	is@bseindia.com
NSE	+91-22-26598190	ignse@nse.co.in
MSEI	+91-22-67318933 / 67319000	investorcomplaints@msei.com
MCX	+91-22-67318888	grievance@mcxindia.com
NCDEX	+91-22-66406789	askus@ncdex.com
CDSL	+91-22-22723333	complaints@cdslindia.com

Demat Section

DEMAT SECTION

DP TARIFF SHEET **Office use only**

ROC Registration No.		Date:	D D - M M - 2 0 Y Y
SEBI Registration No.		Date:	D D - M M - 2 0 Y Y
RBI Registration No.		Date:	D D - M M - 2 0 Y Y
Nationality	✓ Indian ○ Others ______________		

Read terms and conditions before making your selection.

We instruct the DP to receive each & every credit in our account (Automatic Credit)	✓ Yes ○ No
Do you wish to receive dividend / interest directly into your bank account given above through ECS	✓ Yes ○ No
Account Statement Requirement	○ Daily ○ Weekly ○ Fortnightly ○ Monthly ✓ As per SEBI Regulations
Transaction-cum-Holding Statement / Ledgers / Bills may be mailed to Sole / First Holder's E-mail ID stated in the Account Opening Form	✓ Yes ○ No

(If not marked, the default option would be 'No')

We would like to instruct the DP to accept all the pledge instructions in our account without any other further instruction from our end.	✓ Yes ○ No
We would like to share the E-mail ID with the RTA	✓ Yes ○ No

(If not marked, the default option would be in Physical)

We would like to receive the Annual Report	✓ Electronic ○ Physical ○ Both Physical & Electronic

SMS ALERT FACILITY *(Refer to Terms & Conditions - Annexure 2.4)*

We wish to avail the SMS alert facility *(Mandatory, if you are giving Power of Attorney (POA))*	✓ Yes ○ No
Mobile	As mentioned in KYC Basic Details Form

TRANSACTIONS USING SECURED TEXTING FACILITY (TRUST) *(Refer to Terms & Conditions - Annexure 2.6)*

We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. We have read and understood the Terms and Conditions prescribed by CDSL for the same	○ Yes ○ No

If Yes, please register the following Clearing Member ID's under my / our below mentioned BO ID registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

Easi Facility (To register for easi, please visit CDSL website www.cdslindia.com or contact your DP for details)	○ Yes ✓ No

I REQUEST TO ADD FOLLOWING UNIQUE CLIENT CODE (UCC)

Unique Client Code (UCC)	Exchange ID	Segment ID	CM ID	TM ID

Authorised signature with company seal

9 / 17

(Authorized Signatory with Stamp)

DP TARIFF SHEET **Select as per requirement**

Services		Scheme Name				
		Investor	Trader	Lifetime	Deposit	IPO
						BSDA
Deposit		-	-	-	‘ 2000/-	-
One time Maintenance Charges		-	-	‘ 900/-	-	-
Annual Maintenance Charges		‘ 200/-	‘ 600/-	-	-	-
Corporate A/C Annual Maintenance Charges		‘ 500/-				
Receipts (Market & Off Market)		Nil				
Delivery (Debit) per transaction	To Jainam Pool with Auto Payin	‘ 5/-	Nil	‘ 5/-	‘ 5/-	‘ 20/-
	To Jainam Pool without Auto Payin	‘ 7/-				‘ 20/-
	To Other Pool & Off Market	0.01% Min. ‘ 15/-				
Pledge Creation, Closure & Invocation		‘ 25/- per request				
Dematerialization		‘ 100/- per DRF & ‘ 10/- per certificate				
Rematerialization		As per CDSL Actuals				
Margin Pledge Creation & Unpledge Request		As per CDSL Actuals				
Pledge Creation & Unpledge for MTF		As per CDSL Actuals				

The above charges are exclusive of GST and other levies levied by Government bodies / statutory authorities from time to time.

The above charges are based on existing CDSL charges and is subject to change at the sole discretion of Jainam Broking Limited with intimation to client of not less than 30 days.

Deposit shall be interest free & refundable on the closure of account (minimum period of 1 year.)

Value of the transaction will be in accordance with the rates provided by CDSL.

All charges (except AMC) will be payable monthly. Charges quoted above are for the services listed. Any service not quoted above will be charges separately.

All documents like holding, transaction, ledger statements etc. shall be electronically mailed to the e-mail ID of the client. Any copy in physical form shall be charged extra per page in addition to the postal charges.

All instruction for the transfer must be received at Corporate Office (Surat) at least 24 hours before the execution date.

DECLARATION

We have received and read the Rights and Obligations document and Terms & Conditions and agree to abide by and be bound by the same and by the Bye-laws as are in force from time to time. We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. We agree and undertake to intimate the DP any change(s) in the details / particulars mentioned by us in this form. We further agree that any false / misleading information given by us or suppression of any material information will render our account liable for termination and suitable action.

Authorised signature with company seal

(Authorized Signatory with Stamp)

JAINAM
Prosperity with Security

POWER OF ATTORNEY

(CORPORATE / LLP)

Jainam Broking Limited
Jainam House, New Passport Office Road, Piplod, Surat - 395007.
Tel : +91-261-6725555, 2305555 E-mail : corp@jainam.in Website : www.jainam.in
Member : BSE, NSE, MSEI, MCX, NCDEX, CDSL, MSEI

Stock Holding Corporation of India Limited

VER 5.1 130315

Registered office : 301, Centre Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400012
CIN : U67190MH1986PLC040506 Visit us at : www.shcilestamp.com

e-Stamping Application Form

Application Date	/ /20	(✓ Tick any one)	○ Registerable	✓ Non-Registerable	
Document Description	Power of Attorney	Stamp Duty Amount ₹ 10/-	Document Description	Demat Debit and Pledge Instruction	Stamp Duty Amount ₹ 10/-

Property Description (not exceeding 100 characters)

Power of Attorney in favour of Jainam Broking Limited		
	Consideration of Property	₹ NIL

First Party Details (name not exceeding 50 characters)

Name	Jainam Broking Limited																		
Address	Shop No. 5/222/G4, Khatiba Shopping Center, Near DMC Market, Moti Daman.																		
Phone	+91-261-2305555	PAN	A	A	B	C	J	3	9	1	8	N	PIN	3	9	6	2	2	0

Second Party Details (name not exceeding 50 characters)

Name	**Company details**																		
Address																			
Phone		PAN											PIN						

Stamp Duty Payment Details (name not exceeding 50 characters)

Stamp Duty Purchased by	Jainam Broking Limited			
Stamp Duty Paid by (✓ Tick)	✓ 1st Party ○ 2nd Party	Type of Payment	○ Cash ○ Cheque ○ DD ○ Pay-Order ○ NEFT ○ RTGS ✓ Account to Account Transfer	
Stamp Duty Paid by - Gender (✓ Tick)	○ Male	○ Female	○ Jointly paid by Male & Female	✓ Others

Cheque / DD / Pay-Order / NEFT / RTGS / Account Details			Cash Deposit	
Bank Name	Branch Name	Cheque /DD /PO /UTR /REF/Account No.	Deno. Pieces	₹
			2000 X	
			500 X	
			100 X	
			50 X	
			20 X	
			10 X	
			5 X	
Rupees (in words):			2 X	
			1 X	
			Total	

1. Please submit the duly filled and signed form along with stamp duty amount at the e-Stamping counter
2. Stamp Duty amount should be rounded off to the nearest Rupee
3. The correctness of Article type and Stamp Duty amount cannot be confirmed at the e-Stamping counter
4. Once the e-Stamp is generated no modifications/changes are possible, so carefully check the preview of the e-Stamp and only then sign the preview
5. Once the e-Stamp has been generated, payment cannot be cancelled or refunded by StockHolding. For cancellation you need to get in touch with the Competent Authority at the Stamp Office appointed by the State Government
6. Cancellation charges are applicable as levied by the State Government

I have read and understood the above instructions and the Information given by me in this form is true to the best of my knowledge and belief.

Authorised signature with company seal

Name of the Party/Representative:

(Authorized Signatory with Stamp)

(For Office use only)

I verify that the Application Form is in order

To be filled by USER — To be filled by SUPERVISOR

SUBIN		Certificate Number	IN
Signature		Signature	
Stamp Certificate received by	Name:	Signature:	

StockHolding e-Stamping — **Receipt** (To be filled in by the client)

Stamp Duty Purchased By	Jainam Broking Limited	Stamp Duty Paid by	✓ 1st Party ○ 2nd Party
Stamp Duty Amount	₹ 20/-	Type of Payment	○ Cash ○ Cheque ○ DD ○ Pay-Order ○ NEFT ○ RTGS ✓ Account to Account Transfer
Cheque/ DD/ PO/ UTR/ REF/Account No.		Date: DD/MM/YYYY	
Bank Name		Branch Name	
Counter Signature with Seal			

DEMAT DEBIT AND PLEDGE INSTRUCTION

Voluntary Requirement

To all to whom these presents shall come, we,

M/s.___Company name___, a body corporate incorporated under The Companies Act, 1956 and having its registered / head office at ___Company address___

____

send greetings from ____________ on this _____ day of ______________, 20____.

WHEREAS office use only

I / We hold a beneficiary account # 12041500_______________with Central Depository Services (India) Limited., through its Depository Participant, ***Jainam Broking Limited,*** a corporate body incorporated under The Companies Act, 1956 and having DP-ID **041500** (hereinafter referred to as **'the Depository Participant'**). With SEBI under Registration No. **IN-DP-CDSL-223-2016**.

I / We am / are in investor engaged in buying and selling of securities through ***Jainam Broking Limited***, a corporate body incorporated under The Companies Act, 1956 and having its registered / corporate office in Gujarat and processing office at Silvassa / Daman (hereinafter referred to as **'the stock broker'**) having trading / clearing membership under various segments of **Bombay Stock Exchange Limited (BSE), National Stock Exchange (NSE), National Commodity and Derivatives Exchange (NCDEX) and Multi Commodity Exchange of India (MCX)** (hereinafter referred to as **'the Stock Exchanges / Exchanges'**) who is a Stock Broker Registered with SEBI under registration no. **INZ000198735**.

1. Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker

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(Authorized Signatory with Stamp)

2. Pledging / re-pledging of securities in favour of Trading Member (TM) / Clearing Member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.

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3. Mutual Fund transactions being executed on Stock Exchange order entry platforms.

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(Authorized Signatory with Stamp)

4. Tendering shares in open offers through Stock Exchange platforms.

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(Authorized Signatory with Stamp)

I / We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein. Further this Demat Debit and Pledge Instruction is executed subject to the terms that the stock broker shall return to me/us the securities that may have been received by them erroneously or those securities that the stock broker was not entitled to receive from me/us.

I / We hereby agree and declare that all acts and deeds done by the aforesaid stock broker under this authorization shall be construed as acts and deeds done by me / us jointly as well as severally and I/we further confirm and ratify all acts and deeds that the aforesaid stock broker shall lawfully do or cause to be done by virtue of this authorization. I / We further agree and confirm that the powers and authorities conferred by this Demat Debit and Pledge Instruction shall continue until it is revoked (without notice) in writing by me / us and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker at its Corporate Of fice.

SCHEDULE 'A' (DEMAT ACCOUNT DETAILS)

Particulars	DP ID	Client ID
NSDL BSE Pool A/C	IN656083	
CSDL BSE Principal A/C	12041500	00001941
NSDL NSE Pool A/C	IN566147	
CSDL NSE Principal A/C	12041500	00352801

Particulars	DP ID	Client ID
Margin Pledge (TM / CM CMPA)	12041500	01487841
Margin Funding	12041500	01487854
CDSL NSE Early Pay-in A/C	11000011	00018450
CDSL BSE Early Pay-in A/C	11000010	00015282

APPENDIX - A

Electronic Contract Note (ECN) - Declaration

(Voluntary)

To be filled if applying for commodity market

Date	D	D	-	M	M	-	2	0	Y	Y

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Dear Sir / Madam,

We, ____company name____, a client with ***Jainam Broking Limited*** who is a member of MCX & NCDEX (Commodity Exchanges) undertake as follows:

We are aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.

we are aware that the Member has to provide electronic contract note for our convenience on our request only.

Though the Member is required to deliver physical contract note, we find that it is inconvenient for us to receive physical contract notes. Therefore, we are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by us.

We have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.

Our E-mail ID is ____Company Email ID____. This has been created by us and not by someone else.

**(The email id must be written in own handwriting of the client.)*

We are aware that this declaration form should be in English or in any other Indian language known to us.

we are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above E-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involve in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Signature	Authorised signature with company seal 12 / 17	Address	Company Address
Name			
PAN	P Company PAN	UCC	

FOR OFFICE USE ONLY

Signature Verified by:

Signature		Name	
		Designation	

Date	D	D	-	M	M	-	2	0	Y	Y
Place	Surat									

VARIOUS AUTHORIZATIONS

(Voluntary)

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Date	D	D	-	M	M	-	2	0	Y	Y

Client ID	fill by jainam's
UCC	team

Dear Sir/Madam,

RUNNING ACCOUNT AUTHORIZATION

We are having a trading account with you with client code as mentioned above. In order to facilitate smoother and faster operations, we hereby agree

a) and authorize you to maintain a running account across all the segment of all the Exchanges instead of settlement to settlement clearance of dues to us.

b) and instruct you that no cheques be issued to us towards any credit balance in my account, unless specifically demanded by us and only to the extent of amount demanded by us.

c) and authorize you to withhold delivery due to us against any debit balance or pending sale in my account arising on account of margin or future obligations.

d) for intra-exchange and/or inter-settlement transfer of funds and/or securities towards pay-in or pay-out of securities in the future or past settlements.

e) and authorize you to transfer amount from **'Margin Ledger'** to **'MTM Ledger'** (routine billing and fund transaction ledger) and vice versa to fulfill margin and normal billing liability towards you in Cash Equity Derivatives, Currency Derivatives and Commodity Derivatives segment.

f) to maintain such quantity of securities and such amount of cash credit balance as required by the applicable statues, rules, regulations, procedures or as deemed necessary or advisable by you. If you consider it necessary for your own protection, you may demand to deposit additional cash or securities to your account prior to any applicable settlement date in order to assure due performance of my open contractual commitments. If we do not provide such additional cash or securities, you shall have the right to sell any or all securities, but any or all relevant securities which may be short in our account, cancel any or all open orders and/or close any or all of our outstanding contracts.

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13 / 17

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SETTLEMENT OF FUNDS

Select for Quarterly/monthly fund settlement

However with reference to SEBI circular bearing *Ref: MIRSD/SE/Cir-19/2009 dated Dec 03, 2009* & various circulars issued by respective Exchanges thereafter regarding quarterly/monthly settlement, we request you to kindly note our preference for actual settlement of funds as follows.

Settlement of Funds	◯ Monthly ◯ Quarterly

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VARIOUS AUTHORIZATIONS

provide various Authorizations for telephone, SMS, and demat charges.

TELEPHONIC INSTRUCTIONS/VOICE LOGGER

(Voluntary)

 # Kindly strike off any of the clauses, You do not wish to sign.

As regards to placement of orders, although you have insisted on written instruction for placing / modifying / cancelling orders, considering the practical difficulties faced in complying the same, we hereby agree to telephonic instruction for order placing or order modification as a written request and give all the confirmations on telephone unless instructed otherwise in writing.

Further we are aware that for all such telephonic instructions between us, you have installed or may install in future voice logging systems on the telephonic lines and you and you may record our telephonic instruction/conversations & we have or shall have no objection whatsoever in you using the said recordings to ascertain any claims or settle the disputes arising between us in any arbitration or legal proceedings and all such recordings shall be bound to both of us.

SMS FACILITY

We are aware that you, at your sole discretion, may send a SMS on my registered mobile (as mentioned in the KYC form) related to daily trade confirmations, ledger balances, margin calls or any other transactional/informative message. On receipt of such SMS, it shall be our responsibility to intimate you in writing of any discrepancies in the same.

We are also aware that you may charge a fee (amount not exceeding ₹ 25/- per month) for availing this facility & I authorize you to debit the same to my ledger from time to time.

Further if there is any change in my mobile number, it shall be our responsibility to intimate the said changes to you in writing & you shall make the necessary amendments within 48 hours of receipt of such intimation.

LETTER OF AUTHORITY

We hereby appoint the following persons as mentioned below as my authorized representative to operate my above mentioned trading account on our behalf and *Jainam Broking Limited* is authorized to follow his / her instructions regarding our above mentioned trading account for the following purpose

To place orders on our behalf and to receive confirmations on our behalf for order placed by him / her in the said account.

To receive / collect contracts, bills and any other relevant documents on my behalf in the said account and take / handover all the deliveries of shares purchased / sold by or on behalf of us.

Sr. No.	Name of Authorized Person	Specimen Signature of Authorized Person
1		
2		

DEMAT CHARGES AUTHORIZATION

We are having a trading account with you as mentioned above for dealing in shares & securities. We hereby authorize you to kindly debit our aforesaid trading account for various charges due to you pursuant to the services rendered against the following demat account(s).

No.	Demat Account No.	No.	Demat Account No.
1	12041500	2	12041500

INTERNET / MOBILE BASE APPLICATIONS

We would like to avail the various internet/mobile based trading & backoffice softwares offered by you.

We are fully aware that you may charge a fee (amount not exceeding ₹ 5/- per order / trade executed) for the trades initiated through such applications & also levy a monthly charge for accessing these softwares (amount not exceeding ₹ 500/- per annum) & we hereby authorize you to kindly debit these charges to my ledger account from time to time.

REVOCATION OF AUTHORIZATIONS

We are fully aware that any of the aforesaid authorizations shall continue till it is revoked (without notice period) in writing by us & the said revocation shall be effective from the date on which the revocation notice is received by you at your corporate office.

VARIOUS AUTHORIZATIONS

(Voluntary)

 # Kindly strike off any of the clauses, You do not wish to sign.

REVOCATION OF AUTHORIZATIONS

I / We am / are fully aware that any of the aforesaid authorizations shall continue till it is revoked (without notice period) in writing by me/ us & the said revocation shall be effective from the date on which the revocation notice is received by you at your corporate office.

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(Authorized Signatory with Stamp)

OPTING-OUT OF BSDA FACILITIES

This is to inform you that I / We am / are aware about SEBI circular bearing *Ref: CIR/MRD/DP/22/2012 dated 27th August 2012*, where in a small investor can avail the BSDA facilities as mentioned therein.

However I / We do not intend to avail any such facility & although my / our demat account may fall under BSDA category now or in future, I/we do not wish to avail the said facilities.

Kindly consider this as my/our one time standing instruction for not availing the BSDA facility & in case I / We wish to avail the said facility in future, I / We shall intimate the same to you in writing.

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(Authorized Signatory with Stamp)

BSE StAR Mutual Fund Facility

I am interested in availing the StAR Mutual Fund facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the StAR platform of the Exchange. For the purpose of availing the StAR Mutual Fund facility, I state that “Know Your Client” details as submitted by me for the opening of Trading Account may be considered for the purpose of StAR and I/we further confirm that the details contained in same remain unchanged as on date. I am willing to abide by the terms and conditions as has been specified and as may be specified by the Exchange from time to time in this regard. I shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). I shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I choose to subscribe/redeem. I further agree to abide by the terms and conditions, rules and regulations of the respective Mutual Fund Schemes subscribed by me.

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(Authorized Signatory with Stamp)

ACKNOWLEDGEMENT OF KYC DOCUMENTS

Date	D	D	-	M	M	-	2	0	Y	Y

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Dear Sir / Madam,

Sub: Acknowledgment of KYC Documents

Sub : Acknowledgement of KYC Documents

Ref : UCC # ______________Client ID : 12041500_______________ office use only

I/We hereby acknowledge receipt of the following documents

always mark the box as per the given form

- ☐ Duly Executed Copy of KYC
- ☐ Tariff Sheet
- ☐ Rights & Obligations
- ☐ Risk Disclosure Documents (RDD)
- ☐ Guidance Note (Do's & Don'ts)
- ☐ Company's Internal Policies & Procedures
- ☐ Photostat Copy of DP Account Opening Form
- ☐ Photostat Copy of Multiple Nomination Form
- ☐ Rights & Obligations of DP & BO
- ☐ SMART - Terms & Conditions (Annexure 2.4)
- ☐ TRUST - Terms & Conditions (Annexure 2.6)
- ☐ Photostat Copy of POA Agreement
- ☐ Photostat Copy of the Voluntary Declaration
  - ◯ Electronic Contract Note (ECN) - Declaration
  - ◯ Running Account Authorization / Settlement of Funds & Securities
  - ◯ Telephonic Instruction / Voice Logger
  - ◯ SMS Facility
  - ◯ Authority Letter
  - ◯ Demat Charges Authorization
  - ◯ Internet / Mobile Base Applications
  - ◯ Opting-Out of BSDA Facilities
  - ◯ Investor Charter Document (DP & SB)

I also confirm that I have received the relevant clarifications, if any, whereever required from the authorised representatives of Jainam Broking Limited.

Authorised signature
with company seal

(Authorized Signatory with Stamp)