HUF (HINDU UNDIVIDED FAMILY)

DOCUMENTS REQUIRED FOR ACCOUNT OPENING OF HUF	
Identification Proof	Provide all of the following documents: - 1. HUF PAN card copy with seal and signature. 2. HUF deed 3. Karta PAN card's copy(self-attested) 4. PAN number details of all co-parceners(self-attested). 5. Karta passport size photograph
Bank proof	Any one from the following:- • Personalized cancelled cheque with the HUF's name pre - printed on it . • Bank passbook/statement (should not be older than 3 months) with all the details clearly visible as , holder Name, Bank logo and seal, IFSC code, MICR Code and Account Number.
Address Proof of HUF	Address proof of the HUF with seal and signature. This proof can be the copy of the HUF's bank passbook/statement with latest transaction details. (should not be more than 3-month-old),
Address Proof of Karta	Address proof of the Karta should be self-attested and with HUF seal and signature. Address proof can be any of the following: Aadhar card (only masked Aadhar card is allowed),voter ID, passport or driving license.
Income Proof (to activate F&O segment)	Any one from the following:- • HUF Bank Passbook / Bank statement of last 6 months. • Latest Year audited profit and loss statement or • Latest Year Income Tax return (ITR) Acknowledgement or • Net-worth certificate from the CA(Chartered Accountant) with the UDIN number or • DP holding statement of HUF
Demat Proof	Demat proof is required only for opening of trading account or cash segment only. Any of the following demat proof is valid :- • Client master report • Holding statement • Pre-printed delivery instructions slip

RELATED FACTS: -

- When the date of Incorporation is not reflected on HUF PAN card, then the date of incorporation will be considered as 01/01/1961.
- If Karta is a female, then also provide an additional self-declaration form (PDF) as a hard copy along with all the KYC Documents.
- If the current Karta is opening the HUF account after the death of previous Karta, then also provide a notarized copy of death certificate of deceased Karta with HUF seal and signature.
- In Karta is a minor then a guardian must sign on behalf of the minor.
- HUF cannot add a nominee because as per CDSL guidelines, the nomination facility is available only for individual demat accounts.

Account Opening Form

JAINAM

Prosperity with Security

HUF

COMET GALAXY onboard LAUNCHPAD

MARS scoops SPACE ipo Vested

Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007
Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in
Member: BSE, NSE, MCX, NCDEX, CDSL

INDEX PAGE

SEBI Registration Details

Segment	Registration Number	Date
Stock Broking	INZ000198735	11th September 2018
Depository Participant	IN-DP-CDSL-223-2016	8th March 2016

KNOW YOUR CLIENT (KYC) REGISTRATION AUTHORITY

if the date of incorporation of HUF is not mentioned in the PAN then it will be 01-01-1961

IDENTITY DETAILS

HUF detail as per PAN details

*Please fill in **ENGLISH** and in **BLOCK LETTERS** with black ink*
(Please write complete name as per Certificate of Incorporation / Registration and PAN)

Name	HUF name (As per the latest PAN on income tax website)		
Date of Incorporation	D D M M Y Y Y Y — HUF date as per PAN	Place of Incorporation	Name of place
PAN	H	Registration No.	
Date of Commencement of Business	D D - M M - HUF date as per PAN Y		

Status	O Private Limited Company	O Public Limited Company	O Body Corporate	O Partnership	☑ HUF O AOP
	O FI O FII O Bank	O Trust / Charities / NGOs	O Government Body	O Non - Government Body	O LLP
	O Defence Establishment	O Body of Individuals	O Society	O Others *(Please Specify)* ________	

CONTACT DETAILS

Tel (Res)		Tel (Office)	
Mobile	+91 Contect no.	E - Mail ID	E-mail

Correspondence Office Address Details

Address	correspondence adderess						
City		State		Country	India / ________	PIN	
Correspondence Address Proof	O Latest Bank A/c Statement O Latest Telephone (Landline) / Electricity O Registered Lease / Sale of Agreement of Office Address			O Others ________ *(Not more than 3 months old)* *Expiry date of POA submitted* D D - M M - Y Y Y Y			

Registered Office Address Details

If registered office address same as per correspondence address than select this

O *Same as Correspondence Address Details*

Address	Registered Address						
City		State		Country	India / ________	PIN	
Registered Office Address Proof	O Latest Bank A/c Statement O Latest Telephone (Landline) / Electricity O Registered Lease / Sale of Agreement of Office Address			O Others ________ ***(Not more than 3 months old)*** *Expiry date of POA submitted* D D - M M - Y Y Y Y			

OTHER DETAILS

Name, PAN, DIN / UID, residential address and photographs of Promoters / Partners / Karta / Trustees / Whole-time Directors	As per Annexure Attached
Any other Information	

DECLARATION

We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it.

as per the current date

Date:	D D - M M - 2 0 Y Y
Place:	

signature with HUF stamp

1 / 18

(Authorized Signatory with Stamp)

ATTESTATION / FOR OFFICE USE ONLY

Documents Received	☑ Self Attested Certified Copies	☑ Verified with Originals		O Employee	O Authorised Person
Signature			IPV Done on	D D - M M - 2 0 Y Y	
Name					
Code		Designation		Branch	

INSTITUTION DETAILS

Name	**Jainam Broking Limited**	POS Code	1200002001

Seal/Stamp

DETAILS OF KARTA

fill up individual details as per PAN or Aadhar card

Name			
PAN	P	Aadhaar	Aadhar card no.
Address			
City / Town / Village		State	
PIN		Country	India /
Relationship with Applicant	Karta of HUF		

Attache photo copy of individual karta

signature of karta

Thumb Impression

DECLARATION BY MEMBERS OF HUF

We wish to inform you that our HUF is desirous of opening a BO account with Jainam Broking Limited in name and style of HUF name as per pan details (HUF).

We further wish to inform you that the first signatory i.e. name of karta, is the karta of our joint family and other signatories are the adult co-parceners of our family.

We further confirm that the business of our joint family is carried on mainly by the said karta as also by the other signatories hereto in the interest and for the entire body of co-parceners of the joint family.

We undertake that any claim due to Jainam Broking Limited shall be recoverable personally either from all or any of us or from family properties of which the first signatory is the Karta, including the share of minor co-parceners.

We hereby undertake to inform you of any changes occurring at any time including that of any change in the Karta or any minor member of the family attaining majority of any partition (partial or otherwise) or death or birth of any co-parceners in our joint family during the currency of the account. However the liability of the joint family and our undertaking and liability as aforesaid shall continue notwithstanding any such changes.

Name of Karta	Signature
name of karta	signature with HUF stamp 2 / 18

DETAILS OF ADULT CO-PARCENERS

Compulsory PAN required for adult co-parceners

Name	Gender	Relation with Karta	Signature
details of co-parceners as per pan card PAN DOB	M / F		Signature with HUF STAMP
PAN DOB	M / F		
PAN DOB	M / F		

DETAILS OF MINOR CO-PARCENERS

If a co-parceners is minor then fill up the details here

Name	Gender	Relation with Karta	Date of Birth
Minor name	M / F		D D - M M - Y Y Y Y
	M / F		D D - M M - Y Y Y Y

Select income range as per previous financial year

PART - B

Income Range *(per annum)* *(Tick Whichever is Applicable)*	◯ Upto ₹ 1,00,000/- ◯ ₹ 1,00,001/- to ₹ 5,00,000/- ◯ ₹ 5,00,001/- to ₹ 10,00,000/- ◯ ₹ 10,00,001/- to ₹ 25,00,000/- ◯ ₹ 25,00,001/- to ₹ 100,00,000/- ◯ Above ₹ 100,00,000/-
Networth *(Not Older than 1 Month)*	₹ *as on* D D - M M - 2 0 Y Y

BANK DETAILS

HUF bank details

Bank Name		Branch	
Address			
Account Type	◯ Saving ◯ Current ◯ Other	MICR Code *(9 digit)*	
Account No.		IFSC Code *(11 digit)*	

EQUITY DEMAT DETAILS

office use only

No.	Def.	DP Name	DP ID / Client ID

COMMODITY DEMAT DETAILS

not required

CP	CP Name	CP ID / Client ID
NERL		
COMRIS		

UNIQUE MOBILE NUMBER & E-MAIL ID

"If Karta uses a family member's contact number,write it down here."

Pursuant to CDSL communique bearing *Ref.: CDSL/OPS/DP/POLCY/5139 dated August 2, 2011*, please note that the mobile number and e-mail ID mentioned by me in the KYC form belongs to my family member, details of which are as follows:

	Relation of Family Member	PAN of Family Member
Mobile Number:	Self / Spouse / Son / Daughter / Father / Mother	P
E-mail ID:	Self / Spouse / Son / Daughter / Father / Mother	P

However I have no objection whatsoever in you sending all the necessary information, intimations etc. related to my demat / trading account to this mobile number.

COMMUNICATION MODE

always select electronic

Any changes in e-mail ID need to be communicated to the broker through a physical letter

Contract Note / Margin Statement / Ledgers / Bills Delivery Mode	O Physical	O Electronic
If Electronic mode, provide E-mail ID	As mentioned in KYC Basic Details Form	

Number of years of Investment / Trading experience				
Are you	A Politically Exposed Person (PEP) ?	O Yes	O No	Select relevant option
	Related to Politically Exposed Person (PEP) ?	O Yes	O No	
Avail Internet Trading / Wireless Technology Facility always select YES		O Yes	O No	
Purpose and intended nature of the business relationship *(as per PMLA requirement)* choose any one from the options		O Investment O Speculation	O Trading O Others	O Hedging Select relevant option

(For ECN in Commodity Segment, please refer Voluntary Section)

If you are a political person, select "Yes"; otherwise, select "No.

If you are part of a political party, select "Yes"; otherwise, select "No."

FATCA / CRS DECLARATION *(Please Refer Instruction F)* Not necessary to fill up

Are You a Tax Resident (i.e. Are you assessed for tax) in Any Other Country than India? O No O Yes *(If Yes, Please Fill the Details Below)*

Country of Jurisdiction of Residence		Country Code	
Tax Identification Number of Equivalent *(If Issued by Jurisdiction)*			
Place / City of Birth		Country of Birth	

Address ____

City / Town / Village		District		PIN	
State		Code		Country	India / ________
Country Code	I N				

INTRODUCER / SUB BROKER DETAILS

Signature	
Status / Code	O Channel Partner O Employee O Trading O DP **(12041500)**
Name	FIRST MIDDLE LAST

Address ____

City		State		PIN	
Country	India	Mobile No.		E-Mail ID	

OTHER TRADING MEMBER / SUB BROKER DETAILS

(if registered with multiple members, provide details of all)

Name of Stock Broker / Sub - Broker			
Name of Exchange		Client Code	
Details of Disputes / dues pending from / to such Stock Broker / Sub-Broker:			

PAST ACTIONS details of any action.

Details of any action / proceedings initiated / pending / taken by SEBI / RBI / IRDA / Stock Exchange / any other authority against the client in charge of dealing in Equities / F&O / Currency Derivatives / Commodity Derivatives for violation of laws / other economic offences during the last 3 years:

ANY OTHER INFORMATION

CLIENT CATEGORISATION

Commercial	O Bullion	O Base Metals	O Energy	O Agri-Commodities	O Not Applicable
Non Commercial	O Bullion	O Base Metals	O Energy	O Agri-Commodities	O Not Applicable

Note: With a view to understand the extent of involvement of the physical market participants and to identify the nature of participants in the Commodities Derivative Market, Members of the Exchange are required to Categorize the identified Clients under one of the following category:
***Commercial Client** includes Value Chain / Physical market participant / exporter / importer / having direct / indirect exposure to the underlying Commodity*
***Non Commercial Client** includes Trader/ Arbitrageur*
***N.A.**: Not Applicable (Client not dealing in the product)*

select the segment and provide your signature.

CHOICE OF TRADING PLATFORM

(Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off.)

Segment	Signature of Client
Equity / MF (BSE / NSE)	3(a) / 18
Equity Derivatives (BSE / NSE)	3(b) / 18
Currency Derivatives (BSE / NSE)	3(c)/18
Commodity Derivatives* (BSE / NSE / MCX / NCDEX)	3(d) / 18

* Date of Consent	MCX	D D - M M - 2 0 Y Y	NCDEX	D D - M M - 2 0 Y Y

 # If, in future, the client wants to trade on any new segment / exchange, separate authorization / letter shall be required.

If you do not wish to trade in any of segments/Mutual Fund, please mention here Name of the segment that you don't want to trade .

name of segment that you dont wish to trade

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I confirm having read / been explained and understood the contents if the document on **'Policy and Procedures'** of the stock broker and the **'Tariff Sheet'.**

I further confirm having read and understood the contents of the **'Rights and Obligations'** document(s) and **'Risk Disclosure Document'**. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website ***(www.jainam.in).***

As per current date

Date:	D D - M M - 2 0 Y Y
Place:	City name

signature with HUF stamp 4 / 18

(Authorized Signatory with Stamp)

NOTING FOR THE CLIENTS

Pursuant to SEBI Circular # SEBI/MRD/SE/Cir-42/2003 dated 10th November 2003, ***Jainam Broking Limited*** informs all its client that they shall be doing proprietary trading in addition to clientele business.

The information provided by the client through this form shall be the sole property of the trading member / brokerage house and would not be disclosed to anyone unless required by law or except with the express permission of the clients.

DIS OPTION *(Please select any one)*

if you requir DIS slip select option1

○ **Option 1:** I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening of my / our CDSL account through I / We have issued a Power of Attorney (POA) / registered for eDIS in favour of / with ***Jainam Broking Limited*** (Clearing Member) for executing delivery instruction for settling stock exchange trades (settlement related transactions) effected through such Power of Attorney holder - Clearing Member for executing delivery instructions through eDIS.

signature with HUF stamp 5 / 18

(Authorized Signatory with Stamp)

if you dont require DIS slip, than select option 2

○ **Option 2:** I / We do not require the Delivery Instruction Slip (DIS) booklet for the time being, since I / We have issued a POA / registered for eDIS in favour of ***Jainam Broking Limited*** (Clearing Member) for executing delivery instructions for setting stock exchange trades (settlement related transactions) effected through such Power of Attorney Holder - Clearing Member or for executing delivery instructions through eDIS. However, the Deliver Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

signature with HUF stamp 6 / 18

(Authorized Signatory with Stamp)

TARIFF SHEET (TRADING) For broker use only

Segment	Intra Day				Delivery / Positional	
	1st Side %	Min	2nd Side %	Min	Slab %	Min
Equity Cash						
Equity Derivatives (Futures)						
Equity Derivatives (Options)						
Currency Derivatives (Futures)						
Currency Derivatives (Options)						
Commodity Derivatives (Futures)						
Commodity Derivatives (Options)						

OTHER CHARGES

One time Account Opening Charge (amount not exceeding ₹500/-) shall be charged

The above charges are exclusive of statutory levies like GST, Stamp Duty, Securities / Commodity Transaction Tax, Exchange Transaction Charges, SEBI Turnover Fees & Clearing Charges which shall be charged additionally.

Scripwise Delivery Handling Charges on Sale Transactions (amount not exceeding ₹5/- per scrip) shall be levied.

Minimum Contract Charges (amount not exceeding ₹25/-) (inclusive of brokerage only) per contract shall be levied.

Demat Charges per scrip shall be levied for transfer of securities from / to Broker's Beneficiary / Margin Account.

If you have opted for electronic mode of communication, any type of physical or hand copies of contract notes, ledger, holding statements etc. (amount not exceeding ₹5/- per page) in addition to courier charged shall be charged additionally.

All bills (segment wise) shall be rounded off to the nearest rupee.

I hereby agree the above mentioned charges that shall be levied on the trades executed in my account.

GST DETAILS fill GST registration number

GSTIN															

Signature with HUF stamp 6 / 18

(Authorized Signatory with Stamp)

office use only

We undertake that we have made the client aware of **'Policy and Procedures'. 'Tariff Sheet'** and all the non-mandatory documents. We have also made the client aware of **'Rights and Obligations'** document(s), **'Risk Disclosure Documents'** and **'Guidance Note'.** We have given/sent him a copy of all the KYC documents. We undertake that any change in the **'Policy and Procedures'** and / or **'Tariff Sheet'** and / or all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the **'Rights and Obligations'** and **'Risk Disclosure Documents'** would be made available on our website *(www.jainam.in)* for the information of the clients.

Date: D D - M M - 2 0 Y Y

Place: Surat

Seal/Stamp

For ***Jainam Broking Limited***

(Authorized Signatory)

INVESTOR'S GRIEVANCE CONTACT DETAILS

Details of Compliance Officer & CEO

Name	Designation	Contact No.	E-mail ID
Mr. Dilip Morakhia	Compliance Officer & CEO	+91 - 261 - 6725550	dilip.morakhia@jainam.in

For any grievance / dispute, please contact us at the registered / corporate office address of the company or e-mail on ***customercare@jainam.in*** *or call on* ***91-261-6725555 / 2305555***

In case not satisfied with the response, please contact the concerned stock exchange as detailed below.

Exchange	Tel	E-mail ID
BSE	+91-22-22728097	is@bseindia.com
NSE	+91-22-26598190	ignse@nse.co.in
MSEI	+91-22-67318933 / 67319000	investorcomplaints@msei.com
MCX	+91-22-67318888	grievance@mcxindia.com
NCDEX	+91-22-66406789	askus@ncdex.com

Demat Section

Read terms and conditions before making your selection.

DEMAT SECTION

We instruct the DP to receive each & every credit in our account (Automatic Credit)	☑ Yes ○ No
Do you wish to receive dividend / interest directly into your bank account given above through ECS	☑ Yes ○ No
Account Statement Requirement	○ Daily ○ Weekly ○ Fortnightly ○ Monthly ☑ As per SEBI Regulations
Transaction-cum-Holding Statement / Ledgers / Bills may be mailed to Sole / First Holder's E-mail ID stated in the Account Opening Form	☑ Yes ○ No

(If not marked, the default option would be 'No')

We would like to instruct the DP to accept all the pledge instructions in our account without any other further instruction from our end.	☑ Yes ○ No
We would like to share the E-mail ID with the RTA	☑ Yes ○ No

(If not marked, the default option would be in Physical)

We would like to receive the Annual Report	☑ Electronic ○ Physical ○ Both Physical & Electronic

SMS ALERT FACILITY

(Refer to Terms & Conditions - Annexure 2.4)

We wish to avail the SMS alert facility *(Mandatory, if you are giving Power of Attorney (POA))*	☑ Yes ○ No
Mobile	As mentioned in KYC Basic Details Form

TRANSACTIONS USING SECURED TEXTING FACILITY (TRUST)

(Refer to Terms & Conditions - Annexure 2.6)

We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. We have read and understood the Terms and Conditions prescribed by CDSL for the same	○ Yes ○ No

If Yes, please register the following Clearing Member ID's under my / our below mentioned BO ID registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

Easi Facility (To register for easi, please visit CDSL website www.cdslindia.com or contact your DP for details)	○ Yes ☑ No

I REQUEST TO ADD FOLLOWING UNIQUE CLIENT CODE (UCC)

Unique Client Code (UCC)	Exchange ID	Segment ID	CM ID	TM ID

Signature with HUF stamp

(Authorized Signatory with Stamp)

DP TARIFF SHEET **Select DP scheme as per your Requirement**

Services		Scheme Name				
		◯ Investor	◯ Trader	◯ Lifetime	◯ Deposit	◯ IPO / ◯ BSDA
Deposit		-	-	-	₹ 2000/-	-
One time Maintenance Charges		-	-	₹ 900/-	-	-
Annual Maintenance Charges		₹ 200/-	₹ 600/-	-	-	-
Receipts (Market & Off Market)		Nil				
Delivery (Debit) per transaction	To Jainam Pool with Auto Payin	₹ 10/-	Nil	₹ 10/-	₹ 10/-	₹ 25/-
	To Jainam Pool without Auto Payin	₹ 12/-				₹ 25/-
	To Other Pool & Off Market	0.01% Min. ₹ 15/-				
Pledge Creation, Closure & Invocation		₹ 25/- per request				
Dematerialization		₹ 100/- per DRF & ₹ 10/- per certificate				
Rematerialization		As per CDSL Actuals				
Margin Pledge Creation & Unpledge Request		As per CDSL Actuals				
Pledge Creation & Unpledge for MTF		As per CDSL Actuals				

The above charges are exclusive of GST and other levies levied by Government bodies / statutory authorities from time to time.

The above charges are based on existing CDSL charges and is subject to change at the sole discretion of Jainam Broking Limited with intimation to client of not less than 30 days.

Deposit shall be interest free & refundable on the closure of account (minimum period of 1 year.)

Value of the transaction will be in accordance with the rates provided by CDSL.

All charges (except AMC) will be payable monthly. Charges quoted above are for the services listed. Any service not quoted above will be charges separately.

All documents like holding, transaction, ledger statements etc. shall be electronically mailed to the e-mail ID of the client. Any copy in physical form shall be charged extra per page in addition to the postal charges.

All instruction for the transfer must be received at Corporate Office (Surat) at least 24 hours before the execution date.

DECLARATION

We have received and read the Rights and Obligations documents and Terms & Conditions and agree to abide by and be bound by the same and by the Bye-laws as are in force from time to time. We declare that the particulars given by us above are true and to the best of our knowledge as on the date of making this application. We agree and undertake to intimate the DP any change(s) in the details / particulars mentioned by us in this form. We further agree that any false / misleading information given by us or suppression of any material information will render our account liable for termination and suitable action.

Signature with HUF stamp 8 / 18

(Authorized Signatory with Stamp)

POWER OF ATTORNEY

(HUF)

Jainam Broking Limited

Jainam House, New Passport Office Road, Piplod, Surat - 395007

Contact: +91 261 6725555, 2305555 E-mail: corp@jainam.in Web: www.jainam.in

Member: BSE, NSE, MCX, NCDEX, CDSL

SHCIL

Stock Holding Corporation of India Limited

VER 5.1 130315

Registered office : 301, Centre Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400012
CIN : U67190MH1986PLC040506 Visit us at : www.shcilestamp.com

e-Stamping Application Form

Application Date	/ /20	(✓Tick any one)	○ Registerable	☑ Non-Registerable	
Document Description	Power of Attorney	Stamp Duty Amount ₹10/-	Document Description	Demat Debit and Pledge Instruction	Stamp Duty Amount ` 10/-

Property Description (not exceeding 100 characters)

Power of Attorney in favour of Jainam Broking Limited		
	Consideration of Property	₹ NIL

First Party Details (name not exceeding 50 characters)

Name	Jainam Broking Limited																		
Address	Shop No. 5/222/G4, Khatiba Shopping Center, Near DMC Market, Moti Daman.																		
Phone	+91-261-2305555	PAN	A	A	B	C	J	3	9	1	8	N	PIN	3	9	6	2	2	0

Second Party Details (name not exceeding 50 characters)

Name	HUF pan details			
Address				
Phone		PAN		PIN

Stamp Duty Payment Details (name not exceeding 50 characters)

Stamp Duty Purchased by	Jainam Broking Limited		
Stamp Duty Paid by (✓ Tick)	☑ 1st Party ○ 2nd Party	Type of Payment	○ Cash ○ Cheque ○ DD ○ Pay-Order ○ NEFT ○ RTGS ☑ Account to Account Transfer
Stamp Duty Paid by - Gender (✓ Tick)	○ Male ○ Female ○ Jointly paid by Male & Female ☑ Others		

Cheque / DD / Pay-Order / NEFT / RTGS / Account Details			Cash Deposit	
Bank Name	Branch Name	Cheque /DD /PO /UTR /REF/Account No.	Deno. Pieces	₹
			2000 X	
			500 X	
			100 X	
			50 X	
			20 X	
			10 X	
			5 X	
Rupees (in words) :			2 X	
			1 X	
			Total	

1. Please submit the duly filled and signed form along with stamp duty amount at the e-Stamping counter
2. Stamp Duty amount should be rounded off to the nearest Rupee
3. The correctness of Article type and Stamp Duty amount cannot be confirmed at the e-Stamping counter
4. Once the e-Stamp is generated no modifications/changes are possible, so carefully check the preview of the e-Stamp and only then sign the preview
5. Once the e-Stamp has been generated, payment cannot be cancelled or refunded by StockHolding. For cancellation you need to get in touch with the Competent Authority at the Stamp Office appointed by the State Government
6. Cancellation charges are applicable as levied by the State Government

I have read and understood the above instructions and the Information given by me in this form is true to the best of my knowledge and belief.

Name of the Party/Representative: Signature with HUF stamp 9 / 18

(Authorized Signatory with Stamp)

(For Office use only)

I verify that the Application Form is in order

To be filled by USER To be filled by SUPERVISOR

SUBIN		Certificate Number	IN
Signature		Signature	
Stamp Certificate received by	Name:	Signature:	

StockHolding e-Stamping **Receipt** (To be filled in by the client)

Stamp Duty Purchased By	Jainam Broking Limited	Stamp Duty Paid by	☑ 1st Party ○ 2nd Party
Stamp Duty Amount	₹ 20/-	Type of Payment	○ Cash ○ Cheque ○ DD ○ Pay-Order ○ NEFT ○ RTGS ☑ Account to Account Transfer
Cheque/ DD/ PO/ UTR/ REF/Account No.		Date: / /20	
Bank Name		Branch Name	
Counter Signature with Seal			

Voluntary Requirement

DEMAT DEBIT AND PLEDGE INSTRUCTION

To all to whom these presents shall come, I,

1) Mr. / Mrs. / Ms.__karta name as per PAN__ the Karta of __name as per HUF__ (HUF), having their registered address at __HUF address__

an Indian inhabitant send greetings from __________ on this ___ day of __________, 20___.

WHEREAS office use only

I / We hold a beneficiary account # 12041500 ________ with Central Depository Services (India) Limited., through its Depository Participant, ***Jainam Broking Limited***, a corporate body incorporated under The Companies Act, 1956 and having DP-ID **041500** (hereinafter referred to as **'the Depository Participant'**). With SEBI under Registration No. **IN-DP-CDSL-223-2016**.

I / We am / are in investor engaged in buying and selling of securities through ***Jainam Broking Limited***, a corporate body incorporated under The Companies Act, 1956 and having its registered / corporate office in Gujarat and processing office at Silvassa / Daman (hereinafter referred to as **'the stock broker'**) having trading / clearing membership under various segments of **Bombay Stock Exchange Limited (BSE), National Stock Exchange (NSE), National Commodity and Derivatives Exchange (NCDEX) and Multi Commodity Exchange of India (MCX)** (hereinafter referred to as **'the Stock Exchanges / Exchanges'**) who is a Stock Broker Registered with SEBI under registration no. **INZ000198735**.

1. Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker

signature and stamp of Karta 10 / 18	Signature of co- parcener 1 / 4	Signature of co- parcener 1 / 4
(Signature and Stamp of Karta)	*(Signature of co-parcener)*	*(Signature of co-parcener)*

2. Pledging / re-pledging of securities in favour of Trading Member (TM) / Clearing Member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.

signature and stamp of Karta 11 / 18	Signature of co- parcener 2 / 4	Signature of co- parcener 2 / 4
(Signature and Stamp of Karta)	*(Signature of co-parcener)*	*(Signature of co-parcener)*

3. Mutual Fund transactions being executed on Stock Exchange order entry platforms.

signature and stamp of Karta 12 / 18	Signature of co- parcener 3 / 4	Signature of co- parcener 3 / 4
(Signature and Stamp of Karta)	*(Signature of co-parcener)*	*(Signature of co-parcener)*

4. Tendering shares in open offers through Stock Exchange platforms.

signature and stamp of Karta 13 / 18	Signature of co- parcener 4 / 4	Signature of co- parcener 4 / 4
(Signature and Stamp of Karta)	*(Signature of co-parcener)*	*(Signature of co-parcener)*

I / We ratify the instructions given by the aforesaid stock broker to the depository participant named hereinabove in the manner specified herein. Further this Demat Debit and Pledge Instruction is executed subject to the terms that the stock broker shall return to me/us the securities that may have been received by them erroneously or those securities that the stock broker was not entitled to receive from me/us.

I / We hereby agree and declare that all acts and deeds done by the aforesaid stock broker under this authorization shall be construed as acts and deeds done by me / us jointly as well as severally and I/we further confirm and ratify all acts and deeds that the aforesaid stock broker shall lawfully do or cause to be done by virtue of this authorization. I / We further agree and confirm that the powers and authorities conferred by this Demat Debit and Pledge Instruction shall continue until it is revoked (without notice) in writing by me / us and that the said revocation shall be effective from the date on which the revocation notice is received by the stock broker at its Corporate Of fice.

SCHEDULE 'A' (DEMAT ACCOUNT DETAILS)

Particulars	DP ID	Client ID
NSDL BSE Pool A/C	IN656083	
CSDL BSE Principal A/C	12041500	00001941
NSDL NSE Pool A/C	IN566147	
CSDL NSE Principal A/C	12041500	00352801

Particulars	DP ID	Client ID
Margin Pledge (TM / CM CMPA)	12041500	01487841
Margin Funding	12041500	01487854
CDSL NSE Early Pay-in A/C	11000011	00018450
CDSL BSE Early Pay-in A/C	11000010	00015282

APPENDIX - A

Electronic Contract Note (ECN) - Declaration

(Voluntary)

To be filled if applying for commodity market

Date	D	D	-	M	M	-	2	0	Y	Y

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Dear Sir / Madam,

We, ____, a client with ***Jainam Broking Limited*** who is a member of MCX & NCDEX (Commodity Exchanges) undertake as follows:

We are aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.

We are aware that the Member has to provide electronic contract note for my convenience on my request only.

Though the Member is required to deliver physical contract note, we find that it is inconvenient for me to receive physical contract notes. Therefore, we are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.

We have access to a computer and are a regular internet user, having sufficient knowledge of handling the email operations.

Out E-mail ID is ____. This has been created by me and not by someone else.

**(The email id must be written in own handwriting of the client.)*

We are aware that this declaration form should be in English or in any other Indian language known to me.

We are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above E-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involve in dispensing with the physical contract note, and do hereby take full responsibility for the same.

Signature	Signature with stamp of HUF 14 / 18	Address	HUF ADDRESS
Name			
PAN		UCC	

FOR OFFICE USE ONLY

Signature Verified by:

Signature		Name	
		Designation	

Date	D	D	-	M	M	-	2	0	Y	Y
Place	Surat									

VARIOUS AUTHORIZATIONS

(Voluntary)

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Date	D	D	-	M	M	-	2	0	Y	Y

Client ID	12041500
UCC	

By jainam team

Dear Sir/Madam,

RUNNING ACCOUNT AUTHORIZATION

Always required margin ledger to MTM ledger for Authorized

We are having a trading account with you with client code as mentioned above. In order to facilitate smoother and faster operations, We hereby agree

a) and authorize you to maintain a running account across all the segment of all the Exchanges instead of settlement to settlement clearance of dues to me.

b) and instruct you that no cheques be issued to me towards any credit balance in my account, unless specifically demanded by me and only to the extent of amount demanded by me.

c) and authorize you to withhold delivery due to me against any debit balance or pending sale in my account arising on account of margin or future obligations.

d) for intra-exchange and/or inter-settlement transfer of funds and/or securities towards pay-in or pay-out of securities in the future or past settlements.

e) and authorize you to transfer amount from **'Margin Ledger'** to **'MTM Ledger'** (routine billing and fund transaction ledger) and vice versa to fulfill margin and normal billing liability towards you in Cash Equity Derivatives, Currency Derivatives and Commodity Derivatives segment.

f) to maintain such quantity of securities and such amount of cash credit balance as required by the applicable statues, rules, regulations, procedures or as deemed necessary or advisable by you. If you consider it necessary for your own protection, you may demand to deposit additional cash or securities to your account prior to any applicable settlement date in order to assure due performance of my open contractual commitments. If I do not provide such additional cash or securities, you shall have the right to sell any or all securities, but any or all relevant securities which may be short in my account, cancel any or all open orders and/or close any or all of my outstanding contracts.

Signature with HUF stamp 15 / 18

(Authorized Signatory with Stamp)

SETTLEMENT OF FUNDS

Select for Quarterly/monthly fund settlement

However with reference to SEBI circular bearing Ref: MIRSD/SE/Cir-19/2009 dated Dec 03, 2009 & various circulars issued by respective Exchanges thereafter regarding quarterly/monthly settlement, I request you to kindly note my preference for actual settlement of funds as follows.

Settlement of Funds	◯ Monthly ◯ Quarterly

Looking at the operational difficulties faced by both of us in settling the accounts, I hereby authorize you to retain an amount of ₹10,000/- at every instance of settlement.

Signature with HUF stamp 16 / 18

(Authorized Signatory with Stamp)

VARIOUS AUTHORIZATIONS

provide various Authorizations for telephone, SMS, and demat charges.

(Voluntary)

 # Kindly strike off any of the clauses, You do not wish to sign.

TELEPHONIC INSTRUCTIONS/VOICE LOGGER

As regards to placement of orders, although you have insisted on written instruction for placing / modifying / cancelling orders, considering the practical difficulties faced in complying the same, I hereby agree to telephonic instruction for order placing or order modification as a written request and give all the confirmations on telephone unless instructed otherwise in writing.

Further I am aware that for all such telephonic instructions between us, you have installed or may install in future voice logging systems on the telephonic lines and you and you may record our telephonic instruction/conversations & I have or shall have no objection whatsoever in you using the said recordings to ascertain any claims or settle the disputes arising between us in any arbitration or legal proceedings and all such recordings shall be bound to both of us.

SMS FACILITY

We are aware that you, at your sole discretion, may send a SMS on my registered mobile (as mentioned in the KYC form) related to daily trade confirmations, ledger balances, margin calls or any other transactional/informative message. On receipt of such SMS, it shall be my responsibility to intimate you in writing of any discrepancies in the same.

We are also aware that you may charge a fee (amount not exceeding ₹ 25/- per month) for availing this facility & I authorize you to debit the same to my ledger from time to time.

Further if there is any change in my mobile number, it shall be my responsibility to intimate the said changes to you in writing & you shall make the necessary amendments within 48 hours of receipt of such intimation.

LETTER OF AUTHORITY

We hereby appoint the following persons as mentioned below as my authorized representative to operate my above mentioned trading account on my behalf and *Jainam Broking Limited* is authorized to follow his / her instructions regarding my above mentioned trading account for the following purpose

To place orders on my behalf and to receive confirmations on my behalf for order placed by him / her in the said account.

To receive / collect contracts, bills and any other relevant documents on my behalf in the said account and take / handover all the deliveries of shares purchased / sold by or on behalf of me.

Sr. No.	Name of Authorized Person	Specimen Signature of Authorized Person
1		
2		

DEMAT CHARGES AUTHORIZATION

We are having a trading account with you as mentioned above for dealing in shares & securities. We hereby authorize you to kindly debit my aforesaid trading account for various charges due to you pursuant to the services rendered against the following demat account(s).

No.	Demat Account No.	No.	Demat Account No.
1	12041500	2	12041500

INTERNET / MOBILE BASE APPLICATIONS

We would like to avail the various internet/mobile based trading & backoffice softwares offered by you.

We are fully aware that you may charge a fee (amount not exceeding ₹ 5/- per order / trade executed) for the trades initiated through such applications & also levy a monthly charge for accessing these softwares (amount not exceeding ₹ 500/- per annum) & We hereby authorize you to kindly debit these charges to my ledger account from time to time.

VARIOUS AUTHORIZATIONS

(Voluntary)

 # Kindly strike off any of the clauses, You do not wish to sign.

REVOCATION OF AUTHORIZATIONS

We are fully aware that any of the aforesaid authorizations shall continue till it is revoked (without notice period) in writing by us & the said revocation shall be effective from the date on which the revocation notice is received by you at your corporate office.

Signature with HUF stamp 17 / 18

(Authorized Signatory with Stamp)

OPTING-OUT OF BSDA FACILITIES

This is to inform you that I / We am / are aware about SEBI circular bearing *Ref: CIR/MRD/DP/22/2012 dated 27th August 2012*, where in a small investor can avail the BSDA facilities as mentioned therein.

However I / We do not intend to avail any such facility & although my / our demat account may fall under BSDA category now or in future, I/we do not wish to avail the said facilities.

Kindly consider this as my/our one time standing instruction for not availing the BSDA facility & in case I / We wish to avail the said facility in future, I / We shall intimate the same to you in writing.

Signature with HUF stamp 18 / 18

(Authorized Signatory with Stamp)

ACKNOWLEDGMENT OF KYC DOCUMENTS

Date	D	D		M	M	-	2	0	Y	Y

To,
Jainam Broking Limited
Jainam House, New Passport Office Road,
Kargil Chowk, Piplod, Surat - 395007.

Dear Sir/Madam,

Sub : Acknowledgement of KYC Documents

Ref : UCC # ______________ Client ID : 12041500 **Office use only**

I/We hereby acknowledge receipt of the following documents

always mark the box as per the given form

- ☐ Photostat Copy of Client Registration Form (Part-A & Part-B)
- ☐ Tariff Sheet
- ☐ Rights & Obligations
- ☐ Risk Disclosure Documents (RDD)
- ☐ Guidance Note (Do's & Don'ts)
- ☐ Company's Internal Policies & Procedures
- ☐ Photostat Copy of DP Account Opening Form
- ☐ Photostat Copy of Multiple Nomination Form
- ☐ Rights & Obligations of DP & BO
- ☐ SMART - Terms & Conditions (Annexure 2.4)
- ☐ TRUST - Terms & Conditions (Annexure 2.6)
- ☐ Photostat Copy of POA Agreement
- ☐ Photostat Copy of the Voluntary Declaration
  - ◯ Electronic Contract Note (ECN) - Declaration
  - ◯ Running Account Authorization / Settlement of Funds & Securities
  - ◯ Telephonic Instruction / Voice Logger
  - ◯ SMS Facility
  - ◯ Authority Letter
  - ◯ Demat Charges Authorization
  - ◯ Internet / Mobile Base Applications
  - ◯ Opting-Out of BSDA Facilities

(Authorized Signatory with Stamp)